

2875

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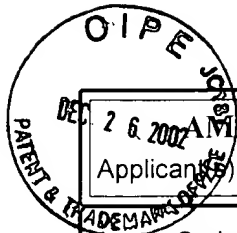
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|--|------------------------|--------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application | 09/696,676 |
| | Filing Date | October 24, 2000 |
| | First Named | Alan J. Ruud et al |
| | Group Art Unit | 2875 |
| | Examiner Name | Anabel Ton |
| Total Number of Pages in This Submission | Attorney Docket Number | RU-134US |

| ENCLOSURES (check all that apply) | | |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Richard W. White, Reg. No. 50,601 Jansson, Shupe & Munder, Ltd. 245 Main Street, Suite M, Racine, WI 53403 |
| Signature | <i>Richard W. White</i> |
| Date | December 19, 2002 |

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| AMENDMENT TRANSMITTAL LETTER (Small Entity) Applicant(s): Alan J. Ruud et al. | Docket No. RU-101US |
|---|-------------------------------|

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|--------------------------|---------------------------------|------------------------|------------------------|
| Serial No. 09/696,676 | Filing Date October 24, 2000 | Examiner Anabel Ton | Group Art Unit 2875 |
|--------------------------|---------------------------------|------------------------|------------------------|

Invention: **LOW-PROFILE OVERHEAD INDUSTRIAL LIGHT FIXTURE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.

☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED | | | | | |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
| TOTAL CLAIMS | 27 - | 27 = | 0 x | \$9.00 | \$0.00 |
| INDEP. CLAIMS | 1 - | 3 = | 0 x | \$42.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
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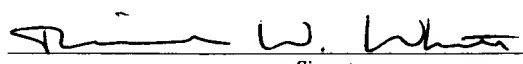
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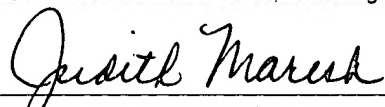
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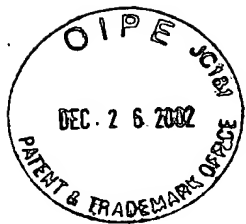


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Racine, WI 53403

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#12 Response
M. Bauser
PATENT 1/16/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Alan J. Ruud et al.

Serial No.: 09/696,676

Filed: October 24, 2000

Title: LOW-PROFILE OVERHEAD
INDUSTRIAL LIGHT FIXTURE

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) Group Art Unit: 2875
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) Examiner: Anabel Ton
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)
)

Hon. Commissioner of Patent and Trademarks
Box Non-Fee Amendment
Washington, D.C. 20231

RESPONSE

Sir:

This is in response to the Office Action mailed September 20, 2002. The Declaration of Alan J. Rudd, Eric Haugaard, and Kurt Wilcox under 37 CFR §1.131 accompanies this response.

Remarks

The Applicants appreciate the attention of the Examiner to the application. The Office Action of the Examiner has been reviewed with care in the preparation of this response. The following remarks are believed to be fully responsive to the Office Action. Claims 1-27 are pending.

Applicants appreciate the indication of allowability of claims 4, 5, 10-12, and 14-27. Applicants' invention provides a unique low-profile overhead industrial light fixture of significant value in the lighting industry. Based on the remarks below and the declaration of Alan J. Rudd, Eric Haugaard, and Kurt Wilcox, Applicants respectfully request reconsideration of the rejections by the Examiner of claims 1-3, 6-9, and 13 under 35 U.S.C. §102(e) as being anticipated by Wang (U.S. Patent No. 6,394,628).

Applicants' invention, as set forth in claim 1, comprises a housing to an overhead industrial light fixture wherein the top wall, bottom wall, and sidewalls of the housing together form a substantially enclosed space within which the lamp-mounting socket is